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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/919,902	08/02/2001	Masahiko Watanabe	35.C15650	4530

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EXAMINER

NGUYEN, LAM S

ART UNIT PAPER NUMBER

2853

DATE MAILED: 09/11/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/919,902

Applicant(s)

WATANABE, MASAHIKO

Examiner

LAM S NGUYEN

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 13 August 2003.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-19 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-4 and 7-17 is/are rejected.
- 7) ☒ Claim(s) 5,6,18 and 19 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 02 August 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). _____
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____ 6) ☐ Other: _____

DETAILED ACTION

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

1. Claims 1-4, 7/1-4, 10-13, and 17 are rejected under 35 U.S.C. 102(b) as being unpatentable over Kobayashi et al. (US 5583987) in view of Koehler et al. (US 4270167).

Kobayashi et al. disclose an integrated-circuit apparatus comprising:

a CPU (in term of “master CPU”) (FIG. 16, element 5a) and a plurality of circuit blocks (in term of “slave CPUs) (FIG. 16, elements 5b-5d) (column 2, line 4-14) to be initialized in accordance with external reset signals (column 1, line 55-60), wherein

the circuit blocks each respectively output an initialization completion signal for communicating completion of initialization after the circuit blocks are initialized (column 2, line 38-43),

the CPU outputs an enable signal for permitting operations of the circuit blocks in accordance with the initialization completion signals output from the circuit blocks (column 2, line 21-24: the slave CPUs 5b-d wait for an enable signal given from the master CPU 5a and column 2, line 44-49: after the master CPU 5a receives the completion report from the slave CPUs, the apparatus 1 including the slave CPUs 5b-5d starts its operation), and

the circuit blocks are permitted to perform the operations by the enable signal (column 2, line 21-24: the slave CPUs 5b-d wait for an enable signal given from the master CPU 5a) and the external reset signals (column 1, line 55-66).

Referring to claim 2: wherein the circuit blocks are initialized to output the initialization completion signals, and said apparatus further comprises a logic circuit for inputting the initialization completion signals output from the circuit blocks to logic-operate the signals, and outputting the logic-operation results to the CPU (column 15, line 60-64: Because the master CPU resets the slave CPUs at one time, there exists a logic circuit receiving all initialization completion signals from the slave CPUs and outputting one signal to the master CPU, so the master CPU knows when all the slave CPUs complete the initialization to reset them at one time).

Referring to claims 3, 4: wherein when all of the circuit blocks are initialized, the CPU outputs the enable signal to all the circuit blocks (column 2, line 21-24: the slave CPUs 5b-d wait for an enable signal given from the master CPU 5a and column 2, line 44-49: after the master CPU 5a receives the completion report from the slave CPUs, the apparatus 1 including the slave CPUs 5b-5d starts its operation).

Referring to claim 7/1-4: wherein the circuit blocks output the initialization completion signals when a predetermined period passes after the reset signal is input (column 4, line 14-20: the time out).

Referring to claims 10-13: wherein the integrated-circuit apparatus is used for a printer (Because these claims are the apparatus claims, the recited intended use of the integrated circuit apparatus does not differentiate the claims from the structure of the apparatus disclosed by

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Kobayashi et al. (MPEP 2114: *A claim containing a "recitation with respect to the manner in which a claimed apparatus is intended to be employed does not differentiate the claimed apparatus from a prior art apparatus" if the prior art apparatus teaches all the structural limitations of the claims*)

Kobayashi et al. do not disclose the comprising of external clock signals wherein the circuit blocks are also permitted to perform the operations by the external clock signals.

Koehler et al. disclose an apparatus for processing digital information comprising plurality of circuit blocks wherein the circuit blocks are permitted to perform the operations by external clock signals (FIG. 1, element CPU and FPU).

Therefore, it would have been obvious for one having ordinary skill in the art at the time the invention was made to modify the CPU and the circuit blocks as disclosed by Kobayashi et al. such that they are permitted to perform the operations by external clock signals as disclosed by Koehler et al. The motivation doing so is to be able to drive the circuit blocks by a single external clock in order to avoid problems of timing skews and to synchronize the operation of the circuit blocks in the apparatus as taught by Koehler et al. (column 6, line 19-24).

2. Claims 8/1-4 and 9/7/1-4 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi et al. (US 5583987) in view of Koehler et al. (US 4270167) as applied to claims 1-4 and 7, and further in view of Mitani (US 5929672).

Kobayashi et al., as modified, disclose the claimed invention as discussed above except wherein the integrated-circuit apparatus is constituted of one chip.

However, Mitani discloses a power on reset circuit including a CPU and the circuit blocks (FIG. 4) that is constituted of one chip (column 5, line 23-26).

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Therefore, it would have been obvious for one having ordinary skill in the art at the time the invention was made to constitute the CPU and the circuit blocks as disclosed by Kobayashi et al., as modified, in one chip as taught by Mitani. The motivation of doing so is to avoid unwanted reset signal for resetting internal circuits of a semiconductor device due to the momentarily voltage drops during a normal operation affected by a noise as taught by Mitani (column 2, line 21-45).

3. Claims 14-16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nitta et al. (US 5784080) in view of Kobayashi et al. (US 5583987) and Koehler et al. (US 4270167).

Nitta et al. disclose an ink-jet recording apparatus (column 1, line 17) comprising an integrated-circuit apparatus for controlling recording (FIG. 4) by a recording head, wherein the integrated-circuit apparatus comprises a CPU (FIG. 4, element 55), a plurality of circuit blocks (FIG. 4, elements 57, 59), a control circuit (FIG. 4), and a driving circuit for performing the recording (FIG. 4, element 53) (**Referring to claim 16**).

However, Nitta et al. do not disclose the circuit blocks initialized in accordance with external reset signals and each respectively outputs an initialization completion signal for communicating completion of initialization or for initializing the control circuit or the driving circuit (**Referring to claims 15-16**) after the circuit blocks are initialized, and the CPU outputs an enable signal for permitting operations of the circuit blocks in accordance with the initialization completion signals output from the circuit blocks.

Kobayashi et al. disclose an apparatus having CPU and circuit blocks initialized in accordance with external reset signals (column 1, line 55-63), the circuit blocks each respectively outputs an initialization completion signal (column 2, line 38-42) for communicating completion

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of initialization or for initializing the control circuit or the driving circuit after the circuit blocks are initialized, the CPU outputs an enable signal for permitting operations of the circuit blocks in accordance with the initialization completion signals output from the circuit blocks (column 2, line 21-24 and column 2, line 44-49).

Therefore, it would have been obvious for one having ordinary skill in the art at the time the invention was made to modify the initialization process of the electronic device used in the printer disclosed by Nitta et al. such that the circuit blocks each respectively output an initialization completion signal for communicating completion of initialization and the CPU outputs an enable signal to permit operations of the circuit blocks in accordance with the initialization completion signals as disclosed by Kobayashi et al. The motivation of doing so is to be able to detect the fault circuit blocks or the fault CPU during the initialization thereby stopping the setting-up process of the system as taught by Kobayashi et al. (column 257 to column 3, line 3).

In addition, Nitta et al. do not disclose the comprising of external clock signals wherein the circuit blocks are also permitted to perform the operations by the external clock signals.

Koehler et al. disclose an apparatus for processing digital information comprising plurality of circuit blocks wherein the circuit blocks are permitted to perform the operations by external clock signals (FIG. 1, element CPU and FPU).

Therefore, it would have been obvious for one having ordinary skill in the art at the time the invention was made to modify the CPU and the circuit blocks in the printer disclosed by Nitta et al. such that they are permitted to perform the operations by external clock signals as disclosed by Koehler et al. The motivation doing so is to be able to drive the circuit blocks by a

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single external clock in order to avoid problems of timing skews and to synchronize the operation of the circuit blocks in the apparatus as taught by Koehler et al. (column 6, line 19-24).

Allowable Subject Matter

3. Claims 5, 6, 7/5-6, 8/5-6, 9/7/5-6, 18-19 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Referring to claims 5, 6, 7/5-6, 8/5-6, 9/7/5-6: The most pertinent arts Nitta et al. (US 5784080), Mitani (US 5929672), Koehler et al. (US 4270167), and Kobayashi et al. (US 5583987) fail to disclose wherein if there is any circuit block that is not initialized yet, the CPU initializes the circuit block by using the enable signal. Therefore, the claimed invention is not disclosed in the cited prior arts.

Referring to claims 18-19: The most pertinent arts Nitta et al. (US 5784080), Mitani (US 5929672), Koehler et al. (US 4270167), and Kobayashi et al. (US 5583987) fail to disclose wherein each the circuit blocks is provided with a circuit to which the enable signal output from the CPU and the reset signals are input to be synchronized by the clock signals and the circuit outputs the enable signal to the circuit blocks. Therefore, the claimed invention is not disclosed in the cited prior arts.

Response to Arguments

Applicant's arguments with respect to claims 1, 14, 17 have been considered but are moot in view of the new ground(s) of rejection.

Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).


A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to LAM S NGUYEN whose telephone number is (703)305-3342. The examiner can normally be reached on 7:00AM - 3:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, STEPHEN D. MEIER can be reached on (703)308-4896. The fax phone numbers for the organization where this application or proceeding is assigned are (703)305-3431 for regular communications and (703)305-3432 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703)308-0956.

LN
August 26, 2003


Stephen D. Meier
Primary Examiner